



Gp 2874

PATENT
712-002-082/CC-0238

#6/IDS

7/27/00

T. Feaster

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: M. A. Putnam et al. ✓

Serial No.: 09/519,802 ✓

Filed: March 6, 2000 ✓

For: TUNABLE OPTICAL STRUCTURE FEATURING FEEDBACK CONTROL ✓

Honorable Assistant Commissioner
for Patents
Washington D.C. 20231
Box Non-Fee Amendment

INFORMATION DISCLOSURE STATEMENT

Sir:

This is an Information Disclosure Statement being submitted following a patent application filed March 6, 2000.¹

Enclosed is a Form PTO-1449 listing prior art references, as well as a copy of each reference cited therein.

This Information Disclosure Statement is being submitted before the mailing date of a first Official Action, therefore, the undersigned respectfully submits that no fee is due.

¹ I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231.

Heidi H. Schotten
Heidi H. Schotten

July 11, 2000
Date

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Applicants would also like to disclose the following related United States patent applications:

- 1) United States Patent Serial No. 09/455,867 (Attorney Docket No. CC 0036B),
- 2) United States Patent Serial No. 09/455,865 (Attorney Docket No. CC 0078B),
- 3) United States Patent Serial No. 09/455,868 (Attorney Docket No. CC 0230), and
- 4) United States Patent Serial No. 09/456,112 (Attorney Docket No. CC 0129B).

These patent applications are all filed on December 6, 1999, and assigned to the assignee of the present application. See page 13 of the instant patent application.

Moreover, Applicants would also like to disclose United States Patent Serial No. 09/448,367 (Attorney Docket Nos. CC 0218 and 712-002-076) filed on November 23, 1999, and assigned to the assignee of the present application. See page 17 of the instant patent application.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any document referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined under 37 CFR 1.56(a) exists.

The Commissioner is hereby authorized to charge to deposit account 23-0442 any fee deficiency in order to submit this Information Disclosure Statement.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'William J. Barber', with a long horizontal flourish extending to the right.

William J. Barber
Attorney for the Applicants
Registration No. 32,720

/dap
July 11, 2000
WARE, FRESSOLA, VAN DER SLUYS
& ADOLPHSON LLP
Customer No. 004955
Bradford Green, Building Five
755 Main Street, P.O. Box 224
Monroe, CT 06468
(203) 261-1234